

Customer No.: 31561
Application No.: 10603,199
Docket NO.: 11438-US-PA

AMENDMENT

Please amend the application as indicated hereafter.

In the Claims :

Claims 1-22 (canceled).

23. (original) A method for fabricating a DRAM array, comprising:

patterning a semiconductor substrate to form rows and columns of pillars thereon;

forming a capacitor on a lower portion of a sidewall of each pillar;

partially filling spaces between the pillars with a first insulating material to cover the capacitors;

forming a gate structure of a transistor on the sidewall of each pillar above the first insulating layer, the gate structure comprising a gate electrode and a gate insulating layer between the pillar and the gate electrode;

forming a first doped region of a transistor in the sidewall of each pillar coupling with the capacitor on the sidewall of the same pillar;

forming a second doped region of a transistor in a top portion of each pillar;

filling the spaces between the pillars with a second insulating material to cover the transistors;

forming a plurality of bit lines over the substrate, wherein each bit line is electrically connected to the second doped regions of the transistors in one row; and

forming a plurality of word lines over the substrate, wherein each word line is

Customer No.: 31561
Application No.: 10605,199
Docket NO.: 11438-US-PA

coupled with the gates of the transistors in one column.

24. (original) The method of claim 23, wherein forming the capacitor on the lower portion of the sidewall of each pillar comprises:

doping a surface layer of the substrate and the lower portions of the sidewalls of the pillars to form a common electrode;

forming a dielectric layer surrounding the lower portion of the sidewall of each pillar; and

forming an upper electrode covering the dielectric layer for coupling with a corresponding first doped region.

25. (original) The method of claim 24, wherein a top of the upper electrode is higher than a top of the dielectric layer, and forming the capacitor on the lower portion of the sidewall of each pillar further comprises:

forming a collar insulating layer on the sidewall of the pillar above the dielectric layer before the upper electrode is formed, so that the collar insulating layer surrounds the pillar and is covered by an upper portion of the upper electrode.

26. (original) The method of claim 25, wherein the upper electrode comprises a doped semiconductor material, and a top portion of the upper electrode above the collar insulating layer directly contacts with the pillar, so that the first doped region is formed via dopant diffusion from the top portion of the upper electrode to the sidewall of the pillar during thermal processes after the upper electrode is formed.

27. (original) The method of claim 26, wherein forming the dielectric layer, the upper electrode and the collar insulating layer comprises:

Customer No.: 31561
Application No.: 10605,199
Docket NO.: 11438-US-PA

forming a conformal dielectric layer on the substrate and the pillars;
forming a first conductive layer between the pillars to cover a lower portion of the conformal dielectric layer;
removing the dielectric layer exposed by the first conductive layer;
forming an insulating spacer on the sidewall of each pillar above the first conductive layer;
forming a second conductive layer between the pillars to cover lower portions of the insulating spacers;
removing a portion of each insulating spacer exposed by the second conductive layer to form a collar insulating layer on each pillar;
forming a third conductive layer between the pillars and on the collar insulating layers and the second conductive layer;
forming a mask spacer on the sidewall of each pillar above the third conductive layer; and
sequentially etching the third conductive layer, the second conductive layer and the first conductive layer using the mask spacer as a mask to form an upper electrode for each pillar.

28. (original) The method of claim 26, wherein forming the dielectric layer, the upper electrode and the collar insulating layer comprises:

forming a conformal dielectric layer on the substrate and the pillars;
forming a conductive spacer on the sidewall of each pillar covering a portion of the conformal dielectric layer;

Customer No.: 31561
Application No.: 10605,199
Docket NO.: 11438-US-PA

forming an insulating layer between the pillars to cover lower portions of the conductive spacers;

removing portions of the conductive spacers and the dielectric layer exposed by the insulating layer;

forming an insulating spacer on the sidewall of each pillar above the insulating layer, the insulating spacer exposing a portion of a top surface of a corresponding conductive spacer;

forming a second conductive layer between the pillars to cover lower portions of the insulating spacers;

removing a portion of each insulating spacer exposed by the second conductive layer to form a collar insulating layer on the sidewall of each pillar;

forming a third conductive layer between the pillars and on the collar insulating layers and the second conductive layer;

forming a mask spacer on the sidewall of each pillar above the third conductive layer; and

sequentially etching the third conductive layer and the second conductive layer using the mask spacer as a mask to form an upper electrode for each pillar, wherein the upper electrode includes a conductive spacer, a portion of the second conductive layer and a portion of the third conductive layer.

29. (original) The method of claim 23, wherein forming the gate structure of the transistor on the sidewall of each pillar above the first insulating layer comprises:

forming a gate insulating layer on the sidewall of each pillar above the first

Customer No.: 31561
Application No.: 10605,199
Docket NO.: 11438-US-PA

insulating material;

forming a conductive layer between the pillars and on the first insulating material,
the conductive layer having a top surface lower than the top surface of the pillar;

forming a mask spacer on the sidewall of each pillar above the conductive layer;

forming a mask layer comprising a plurality of linear patterns over the substrate,
wherein each linear pattern runs over the pillars in one column; and

etching the conductive layer using the mask spacer and the mask layer as a mask
to form a gate electrode on the sidewall of each pillar, wherein the gate electrodes on the
pillars in one column are connected via the conductive layer between the pillars of the
same column to form a gate line.

30. (original) The method of claim 29, wherein a gate line directly serves as a
word line for the transistors in one column.

31. (original) The method of claim 29, wherein a word line is formed crossing
over the bit lines to electrically connect a corresponding gate line via at least one contact
between the pillars of the corresponding column.

32. (original) The method of claim 23, wherein forming the gate structure of the
transistor on the sidewall of each pillar above the first insulating layer comprises:

forming a gate insulating layer on the sidewall of each pillar above the first
insulating material;

forming a conformal conductive layer on the pillars and the first insulating
material, also covering the gate insulating layer;

forming a first mask layer between the pillars to cover a lower portion of the

Customer No.: 31561
Application No.: 10605,199
Docket NO.: 11438-US-PA

conformal conductive layer;

forming a second mask layer comprising a plurality of linear patterns on the conformal conductive layer and the first mask layer, wherein each linear pattern runs over the pillars in one column;

removing portions of the first mask layer exposed by the second mask layer;

removing the second mask layer; and

anisotropically etching the conformal conductive layer using the remaining first mask layer as a mask until a top of the conductive layer is substantially lower than the top surface of the pillar, so as to form a spacer-like gate electrode on the sidewall of each pillar, wherein the gate electrodes on the pillars in one column are connected via the conductive layer between the pillars of the same column to form a gate line.

33. (original) The method of claim 32, wherein the gate line directly serves as a word line for the transistors in one column.

34. (original) The method of claim 32, wherein a word line is formed crossing over the bit lines to electrically connect the corresponding gate line via at least one contact between the pillars of the corresponding column.

35. (original) The method of claim 23, wherein each bit line directly contacts with the second doped regions of the transistors in one row.

36. (original) The method of claim 23, wherein the gate electrodes on the pillars of one column are connected via the conductive layer between the pillars of the same column to form the gate line, and the step of forming the word lines comprises:

forming a dielectric layer over the substrate covering the bit lines; and

Customer No.: 31561
Application No.: 10605,199
Docket NO.: 11438-US-PA

forming at least one contact through the dielectric layer and a word line on the dielectric layer to electrically connect with the gate line, wherein the contact directly contacts the conductive layer between two pillars of the same column.

37. (original) The method of claim 36, wherein

each bit line is formed with a cap layer thereon; and

the method further comprises:

forming a protective spacer on sidewalls of each pair of bit line and cap layer before the dielectric layer is formed.

38. (original) The method of claim 36, wherein the contact and the word line are formed with a damascene process.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.